



10-4-04

IFWS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application**

**Serial No.** **10/821,771**

**Applicants:** **Seiler et al**

**Group Art Unit:** **3743**

**Filing Date:** **April 9, 2004**

**Title:** **HEAT EXCHANGER WITH FLOW CIRCUITING END CAPS**

**Attorney Docket No.:** **60680-793**

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

In connection with the U.S. patent application identified above, applicant wishes to draw to the examiner's attention the following art, but no representation is made that a comprehensive search has been made or that no better art exists.

Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (one sheet) as well as a copy of each non-United States reference listed thereon. It is requested that each of these items be considered, made of record in the prosecution history of the application, and appear among the references cited on any patent to issue from the application. In accordance with 37 C.F.R. § 1.98(a)(2)(iv), a copy of an Invitation to Pay Additional Fees, including a Communication Relating to the Results of the Partial International Search, obtained in connection with a corresponding application under the Patent Cooperation Treaty has been included herewith.

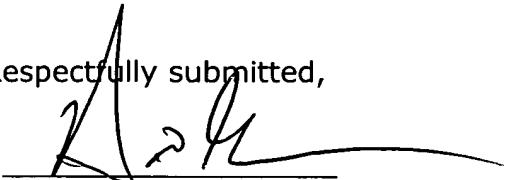
For those references listed on attached Form PTO-1449 that are in English, no further commentary by Applicant is believed necessary, 37 C.F.R. § 1.98(a)(3). For those references listed on attached Form PTO 1449 that are in a language other than English, Applicant refers the Examiner to the English language International Search Report submitted herewith. MPEP § 609.

Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97 (b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Information Disclosure

Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97 (c)(2) and 37 C.F.R. § 1.17 (p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400.

EXECUTED at Toronto, Ontario, Canada, this 22<sup>nd</sup> day of September 2004.

Respectfully submitted,

By: 

David J. Greer  
Registration No. 43,395

Encl.

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): SEILER ET AL.

Docket No.

60,680-793

Application No.  
10/821,771Filing Date  
04/09/2004Examiner  
UNASSIGNEDCustomer No.  
26127Group Art Unit  
3743

Invention: HEAT EXCHANGER WITH FLOW CIRCUITING END CAPS

OCT 01 2004

I hereby certify that the following correspondence:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

10/01/2004*(Date)***MARY F. PELTIER***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*ER521952779US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



Form PTO-1449U.S. DEPARTMENT OF COMMERCE (Rev. 2-32)PATENT AND TRADEMARK OFFICE				Attorney Docket No. 60680-793	Serial No. 10/821,771	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)				Applicants: Seiler et al		
				Filing Date April 9, 2004	Group Art Unit: 3743	
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)
	2,981,520	04/25/1961	Chadburn			
	5,232,066	08/03/1993	Schnelker			
	5,934,364	08/10/1999	Chrysler et al			
	6,109,217	08/29/2000	Hedlund et al			
	6,536,516	03/25/2003	Davies et al			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Translation	
						Yes	No
	1,534,246	07/26/1968	FR				
	2,774,462	08/06/1999	FR				
	2,778,973	11/26/1999	FR				
	61-66061	04/04/1986	JP				
	2,277,781	11/09/1994	GB				
	298 03 166	05/20/1998	DE				
	0 890 810	01/13/1999	EP				
	94/23449	10/13/1994	WO				
	94/23257	10/13/1994	WO				
	01/25711	04/12/2001	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		European Patent Office; Invitation to Pay Additional Fees, including a Communication Relating to the Results of the Partial International Search for International Application No. PCT/CA04/000541 mailed August 18, 2004.
EXAMINER	DATE CONSIDERED	
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

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